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> on May 23, 2007 **Date of Deposit**

Richard E. Stanley, Jr., Reg. No. 45,662

Name of applicant, assignee or Registered Representative

May 23, 2007

Date of Signature

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: pending

Art Unit: pending

In re Appln. of: David G. Burton et al.

Appln. No.: 10/593,376

Filed: September 19, 2006

For: MEDICAL BALLOON WITH

**ENLARGED TRANSITIONAL** 

**RADII** 

Attorney Docket No: 8627-1391

(PA-5511-PCT/US)

## INFORMATION DISCLOSURE STATEMENT

This application claims priority under 35 USC § 120 to the following United States provisional application serial number 60/558,622 and PCT/US2005/010534. In accordance with the duty of disclosure under 37 C.F.R. §1.98(d), the Examiner is directed to the references cited in all Information Disclosure Statements filed in the priority applications cited above in addition to the references cited herein.

#### **U.S. PATENT DOCUMENTS**

| U.S. Patent No.   | Issued/Published | Inventor       |
|-------------------|------------------|----------------|
| 4,637,396         | 01/20/87         | Cook           |
| 4,800,882         | 01/31/89         | Gianturco      |
| 4,848,344         | 07/18/89         | Sos et al.     |
| 5,324,257         | 06/28/94         | Osborne et al. |
| 5,797,878         | August 25, 1998  | Bleam          |
| 5,814,061         | 09/29/98         | Osborne et al. |
| 6,251,094         | June 26, 2001    | Bleam          |
| US2003/0139762 A1 | July 24, 2003    | Lee            |
| US2003/0225434 A1 | December 4, 2003 | Glantz et al.  |
| 60/558,622        | March 31, 2004   | Burton et al.  |

### **FOREIGN PATENT DOCUMENTS**

| Document No.      | Publication Date | Country |  |
|-------------------|------------------|---------|--|
| WO 2005/097249 A1 | 10/20/05         | PCT     |  |

#### Other Art – Non-Patent Literature Documents

Copy of International Search Report and Written Opinion from PCT Application No. PCT/US2005/010534, dated 6/24/2005, 6 pages.

Applicants enclose Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

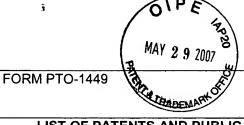
By submitting this Statement, Applicants attempt to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

May 23, 2007 Date

Richard E. Stanley, Jr. (Reg. No. 45,662)



| FORM PTO-1449   | SERIAL NO.<br>10/593,376          | CASE NO.<br>8627-1391                   |
|---|-----------------------------------|---|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE<br>September 19, 2006 | (PA-5511-PCT/US) GROUP ART UNIT pending |
| (use several sheets if necessary)   | APPLICANT(S): David G. Burton     | n et al.                                |

## REFERENCE DESIGNATION

## **U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL |          | DOCUMENT NUMBER Number-Kind Code (if known) | DATE     | NAME           | CLASS/<br>SUBCLASS | FILING<br>DATE |
|------------------|----------|---|----------|----------------|--------------------|----------------|
|                  | A1       | 4,637,396                                   | 01/20/87 | Cook           | 128/344            | 10/84          |
|                  | A2       | 4,800,882                                   | 01/31/89 | Gianturco      | 128/343            | 3/87           |
|                  | A3       | 4,848,344                                   | 07/18/89 | Sos et al.     | 128/344            | 11/87          |
|                  | A4       | 5,324,257                                   | 06/28/94 | Osborne et al. | 604/53             | 5/92           |
|                  | A5       | 5,797,878                                   | 08/25/98 | Bleam          | 604/196            | 8/96           |
|                  | A6       | 5,814,061                                   | 09/29/98 | Osborne et al. | 606/194            | 6/97           |
|                  | A7       | 6,251,094                                   | 07/24/03 | Bleam          | 604/96.01          | 6/98           |
| <del></del>      | A8       | US2003/01393762 A1                          | 07/24/03 | Lee            | 606/194            | 2/2003         |
|                  | A9       | US2003/0225434 A1                           | 12/04/03 | Glantz et al.  | 606/194            | 5/02           |
|                  | A10      | 06/558,622                                  | 03/31/04 | Burton et al.  |                    | 3/04           |
|                  |          |   |          |                |                    |                |
|                  |          |   |          |                |                    |                |
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|                  |          |   |          |                |                    |                |
|                  | <u> </u> |   |          |                |                    | <u> </u>       |

# FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER Number-Kind Code (if known) | DATE     | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|-----|---|----------|---------|--------------------|--------------------------|
|                     | A11 | WO 2005/097249 A1                           | 10/20/05 | PCT     |                    |                          |
|                     |     |   |          |         |                    |                          |
|                     |     |   |          | ·       |                    |                          |

| EXAMINER |   |   |  |  |  |
|----------|---|---|--|--|--|
| INITIAL  | OTHER ART – NON PATENT LITERATURE DOCUMENTS   |   |  |  |  |
|          | (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, |   |  |  |  |
|          | sympo   | sium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |
|          | A12   | Copy of International Search Report and Written Opinion from PCT Application No.                            |  |  |  |
|          |   | PCT/US2005/010534 dated June 24, 2005, 6 pages.   |  |  |  |
|          |   |   |  |  |  |

| EXAMINER    | DATE CONSIDERED  |  |
|-------------|------------------|--|
| EXAMINATION | DATE CONCIDENCES |  |
|             |                  |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.